

U.S. DEPARTMENT OF TRANSPORTATION

FEDERAL AVIATION ADMINISTRATION

2150.3A CHG 21

4/2/96

SUBJ: COMPLIANCE AND ENFORCEMENT PROGRAM

- 1. PURPOSE. This change transmits revised pages to Appendix 4, Sanction Guidance Table.
- 2. <u>EXPLANATION OF CHANGES</u>, This change clarifies the guidance to FAA personnel on the imposition of **sanctions** for security violations by individuals This change:
- a. Reflects a substitution of nominal sanction amounts in place of the term "administrative action," which created ambiguity by appearing in the sanction guidance table.
- **b.** Reorganizes certain passenger firearm violations by removing any distinction between a loaded firearm and an unloaded firearm with ammunition accessible.
- **c**. Clarifies the guidance to provide that civil penalties also **may** be sought for violations warranting **criminal** sanctions. when appropriate. The table should be consulted only after determining the need for legal enforcement action
- d. Does not create **any new** prohibitions on the use of administrative actions that do not **already** exist under paragraph **205** of this order. Administrative action still should be taken in appropriate cases provided the elements in paragraph **205** are present.
- 3. **DISPOSITION** OF **TRANSMITTAL**. After filing attached pages, this transmittal should be retained

PAGE CONTROL CHART

RemovePages	. Dated	Insert Pages	Dated
Appendix 3		Appendix 3	
20 through 21-3	12/18/92	20-1 and 20-2 21-1 and 21-2	4/2/96 4/2/96

David R. Hinson Administrator

Note: As Order 2150.3A CHG20 will be printed at a later date,

CHG 21 should be filed in appendix 4.

A			

SANCTION PER VIOLATION

Security Violations by Individuals. G.

CHECKED BAGGAGE:

1. E	Failure	to	declare	unloaded	firearm	\$100-\$300
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2. Loaded firearm \$300-\$1,000

3. Incendiary/explosive Up to \$10,000 and/ or crim. referral

NON-PASSENGERS: No intent to board.

4. Possession of firearm (unloaded, unloaded with ammunition accessible, or loaded) or other dangerous or deadly weapon (including stun guns):

At screening point

with	no	aggravati	ing	circumstances	\$100-\$300
with	agg	gravating	ci	rcumstances	\$500-\$1,000

In sterile area

with	no aggravating circumstances	\$200-\$500
with	aggravating circumstances	\$750-\$1,000

- 5. Possession of incendiary/explosive at screening point or in sterile area with no intent to board a flight.
- 6. Artful concealment of firearm (loaded or unloaded), other dangerous or deadly weapon (including stun guns), or referral incendiary/explosive at screening point or in sterile area.

referral \$1,000

and/or crim.

\$500-\$1,000

and/or crim.

SANCTION PER VIOLATION

PASSENGERS: Intent to board.

7. Possession of dangerous or deadly weapon (including stun guns, mace, etc., but excluding firearms and incendiary/explosive&) that would be accessible in flight in air transportation:*

At screening point

with no aggravating circumstances	\$100-\$300
with aggravating circumstances	\$500-\$1,000
In sterile area or aboard aircraft	

with no aggravating circumstances \$200-\$500
with aggravating circumstances \$750-\$1,000

8. Possession of firearm that would be accessible in flight in air transportation:

At screening point (firearm
unloaded, without accessible
ammunition) :

with no aggravating circumstances \$250-\$500
with aggravating circumstances \$1,000-\$2,000

In sterile area or aboard aircraft
 (firearm unloaded, without
accessible ammunition):

with no aggravating circumstances \$500-\$750
with aggravating circumstances \$1,500-\$2,500

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SANCTION PER VIOLATION

referral

PASSENGERS: Intent to board.

8. Possession of firearm that would
be accessible in flight in air
transportation: (continued)

At screening point (firearm loaded or with accessible ammunition) :

With no aggravating circumstances \$500-\$1,000

With aggravating circumstances \$2,000-\$5,000

In sterile area or aboard aircraft (firearm loaded or with accessible ammunition):

with no aggravating circumstances \$750-\$2,000

with aggravating circumstances \$2,500-\$7,500

Artful concealment of dangerous \$2,500-\$5,000

deadly weapon (including stun guns, and/or crim.

- 9. Artful concealment of dangerous or deadly weapon (including stun guns, but excluding firearms and incendiary/explosives) at screening point, in sterile area, or aboard aircraft.
- 10. Possession of incendiary/explosive at screening point, in sterile area, and/or crim. or aboard aircraft that would be accessible in flight in air transportation.
- 11. Artful concealment of \$7,500-\$10,000 firearm or incendiary/explosive at and/or crim.

 * screening point, in sterile area, referral or aboard aircraft.

OTHER ACTS:

12. Entering sterile area after failing to submit to screening.

 Non-aggravated
 \$100-\$250

 Aggravated
 \$500-\$1,000

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SANCTION PER VIOLATION

13. Imparting or conveying false information concerning an attempt to do an act that would be a crime prohibited by 49 U.S.C. §§46502, 46504, 46505, or 46506.

\$7,500 - \$10,000

14. Threatening overt act or other intent to use or dangerously display firearm, incendiary/explosive, or other deadly or dangerous weapon (including stun guns).

\$10,000 and/or crim. referral

15. Violation of 49 U.S.C. §§46502, 46504, 46505, 46506, or 46507.

crim. referral

AGGRAVATING CIRCUMSTANCES include any factor that makes a violation more serious than a simple instance of a first-time offender forgetting that he/she has a weapon on his/her person or in accessible carry-on baggage or forgetting to declare a weapon in checked baggage. Such factors may include: the number of weapons; type of weapon(s); display, use, or concealment of the weapon(s); attitude of the violator; traveling sophistication of the violator; history of similar violations.

This recommended Table of penalties for security violations by individuals is NEVER intended as a substitute for vigorous criminal prosecution when warranted by the facts and circumstances and deemed appropriate by the cognizant state and Federal authorities. Such criminal prosecution may range from weapon forfeiture to a criminal fine to the imposition of a sentence, suspended or otherwise. Therefore, be alert to the following:

- a. When state prosecution has been undertaken, or appears likely, determine the results, when possible, and consider what, if any, reduction in the Federal civil penalty may be warranted. In the case of fines imposed by a state or local government, a dollar-for-dollar reduction in the Federal civil penalty ordinarily would not be appropriate.
- b. If Federal criminal prosecution has been undertaken, or appears likely, civil penalty action should be initiated ONLY after coordination with the **v.s.** Attorney and a decision has been made that a civil penalty is appropriate.